

# 计算机问题求解 – 论题2-10

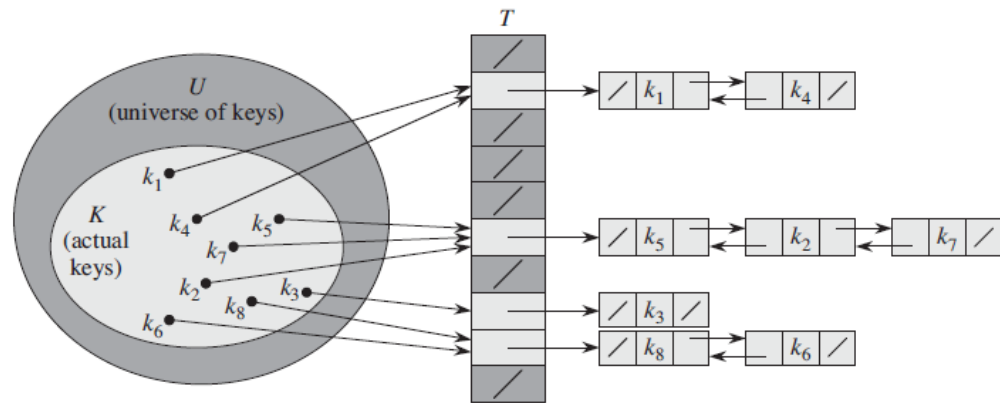
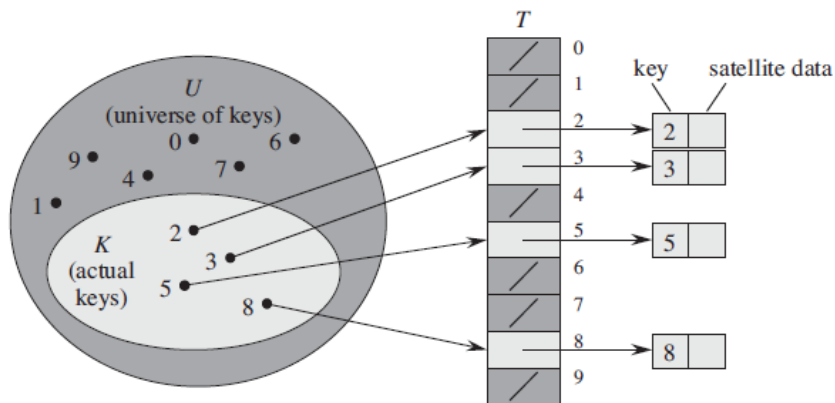
- Hashing方法

课程研讨

- TC第11章
- CS第5章第5节

# 问题1：dictionary

- 什么是dictionary?
- 你如何理解它的两种实现?
  - direct-address table
  - hash table
- 你能分析它们的存储空间和插入/删除/查找时间吗?
- 因此，你能对比它们的优缺点吗?



# 问题1： dictionary (续)

- 你理解这段话了吗？

In a hash table in which collisions are resolved by chaining, an unsuccessful search takes average-case time  $\Theta(1 + \alpha)$ , under the assumption of simple uniform hashing.

In a hash table in which collisions are resolved by chaining, a successful search takes average-case time  $\Theta(1 + \alpha)$ , under the assumption of simple uniform hashing.

What does this analysis mean? If the number of hash-table slots is at least proportional to the number of elements in the table, we have  $n = O(m)$  and, consequently,  $\alpha = n/m = O(m)/m = O(1)$ . Thus, searching takes constant time on average. Since insertion takes  $O(1)$  worst-case time and deletion takes  $O(1)$  worst-case time when the lists are doubly linked, we can support all dictionary operations in  $O(1)$  time on average.

- 对于 dynamic set, 如何做到那个 “if” ?

# 问题1： dictionary (续)

```
void addEntry(int hash, K key, V value, int bucketIndex) {  
    if ((size >= threshold) && (null != table[bucketIndex])) {  
        resize(2 * table.length);  
        hash = (null != key) ? hash(key) : 0;  
        bucketIndex = indexFor(hash, table.length);  
    }  
  
    createEntry(hash, key, value, bucketIndex);  
}
```

# Worst-case Analysis of the Insertion

- For  $n$  execution of insertion operations
  - A bad analysis: the worst case for one insertion is the case when expansion is required up to  $n$
  - So, the worst case cost is in  $O(n^2)$ .
- Note the expansion is required during the  $i$ th operation only if  $i=2^k$ , and the cost of the  $i$ th operation

$$c_i = \begin{cases} i & \text{if } i-1 \text{ is exactly power of } 2 \\ 1 & \text{otherwise} \end{cases}$$

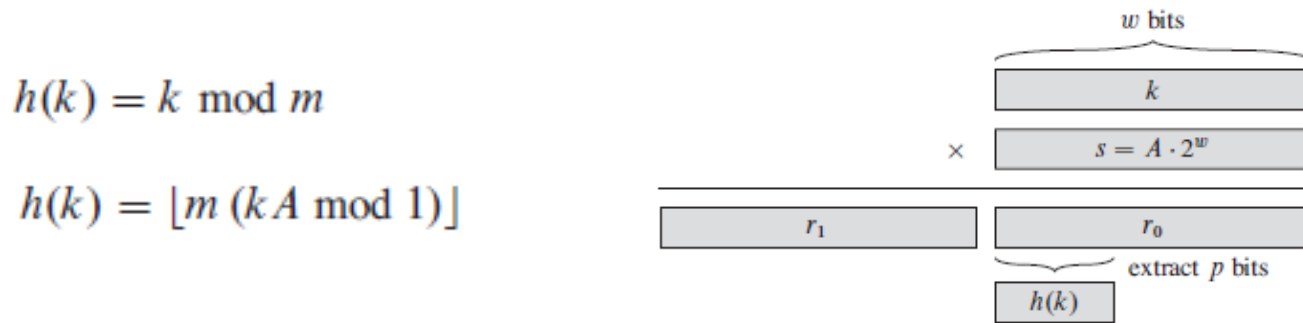
So, the total cost is :  $\sum_{i=1}^n c_i \leq n + \sum_{j=0}^{\lfloor \lg n \rfloor} 2^j < n + 2n = 3n$

# 问题2: hash function

- 你如何理解一个好的hash function应有的这些要素?
  - Satisfies (approximately) the assumption of simple uniform hashing.
  - Derives the hash value in a way that we expect to be independent of any patterns that might exist in the data.
- 你如何理解simple uniform hashing?  
它对hash table为什么至关重要?

## 问题2: hash function (续)

- 你理解这两种hash function了吗?



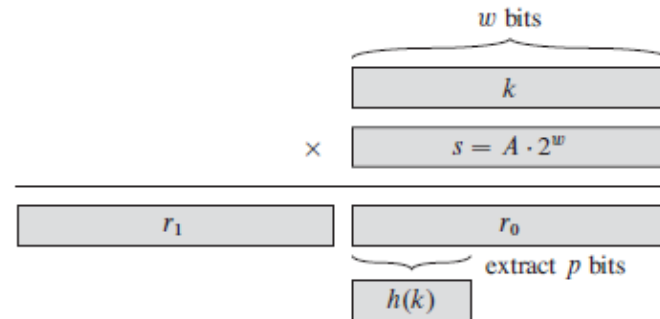
- 这些hash function在实际中能确保是simple uniform hashing吗?  
如果不能, 可能的原因是什么? 如何解决?
-

## 问题2: hash function (续)

- 你理解这两种hash function了吗?

$$h(k) = k \bmod m$$

$$h(k) = \lfloor m (kA \bmod 1) \rfloor$$



- 这些hash function在实际中能确保是simple uniform hashing吗?  
如果不能, 可能的原因是什么? 如何解决?
- universal hashing: to choose the hash function randomly in a way that is independent of the keys that are actually going to be stored



## 问题3: probability calculations in hashing

- 你会计算这些期望值吗?
  - expected number of items per location  $n/k$
  - expected number of empty locations  $k(1 - \frac{1}{k})^n$
  - expected number of collisions  $n - k + k(1 - \frac{1}{k})^n$
  - expected time until all locations have at least one item

$$\sum_{j=1}^k \frac{k}{k - j + 1}$$

# 问题4: collision resolution

- 你理解open addressing了吗？  
它与chaining的本质区别是什么？  
因此，它有哪些相对的优缺点？

**HASH-INSERT( $T, k$ )**

```
1  $i = 0$ 
2 repeat
3    $j = h(k, i)$ 
4   if  $T[j] == \text{NIL}$ 
5      $T[j] = k$ 
6     return  $j$ 
7   else  $i = i + 1$ 
8 until  $i == m$ 
9 error “hash table overflow”
```

**HASH-SEARCH( $T, k$ )**

```
1  $i = 0$ 
2 repeat
3    $j = h(k, i)$ 
4   if  $T[j] == k$ 
5     return  $j$ 
6    $i = i + 1$ 
7 until  $T[j] == \text{NIL}$  or  $i == m$ 
8 return NIL
```

# 开地址散列

- 将关键字序列(7、8、30、11、18、9、14)散列存储到散列表中，散列表的存储空间是一个下标从0开始的一个一维数组散列，函数为： $H(\text{key})=(\text{key} * 3)\text{MOD } T$ ，处理冲突采用线性探测再散列法，要求装载因子为0.7。问题：
  - 请画出所构造的散列表。
  - 分别计算等概率情况下，查找成功和查找不成功的平均查找长度。

## 问题4: collision resolution (续)

- 一个好的h函数应该具有哪些特点?
  - 
  -
- 你理解这些h函数了吗? 它们为什么不是最好的h函数?
  - linear probing  $h(k, i) = (h'(k) + i) \bmod m$
  - quadratic probing  $h(k, i) = (h'(k) + c_1i + c_2i^2) \bmod m$
  - double hashing  $h(k, i) = (h_1(k) + ih_2(k)) \bmod m$
- 你理解这些具体原因了吗?
  - linear probing: primary clustering
  - quadratic probing: secondary clustering

## 问题4: collision resolution (续)

- 一个好的h函数应该具有哪些特点?
  - The probe sequence is a permutation of  $\langle 0, 1, \dots, m-1 \rangle$ .
  - uniform hashing: The probe sequence of each key is equally likely to be any of the  $m!$  permutations of  $\langle 0, 1, \dots, m-1 \rangle$ .
- 你理解这些h函数了吗? 它们为什么不是最好的h函数?
  - linear probing  $h(k, i) = (h'(k) + i) \bmod m$
  - quadratic probing  $h(k, i) = (h'(k) + c_1i + c_2i^2) \bmod m$
  - double hashing  $h(k, i) = (h_1(k) + ih_2(k)) \bmod m$
- 你理解这些具体原因了吗?
  - linear probing: primary clustering
  - quadratic probing: secondary clustering

# 问题4: collision resolution (续)

- 你理解perfect hashing了吗？  
它与chaining的本质区别是什么？  
因此，它有哪些相对的优缺点？

