

- 教材讨论
  - JH第2章第3节第2小节定义2.3.2.1和2.3.2.2及相应的示例

# 问题1：字母表、词、语言

- alphabet、symbol、word、language
  - 它们是如何被形式化定义的？
  - 你能举出一些实际生活中的例子吗？
- 你能设计一种语言来编码全班同学问求的期末考试成绩吗？
  - 你设计的字母表、词和语言分别是什么？
- 你能设计一种语言来编码图片吗？
  - 你设计的字母表、词和语言分别是什么？
- 编码视频呢？
  - 你设计的字母表、词和语言分别是什么？
- 什么是concatenation of word？  
你能利用这个概念来定义这些新概念吗？
  - prefix/suffix/subword
  - concatenation of language

# 问题1：字母表、词、语言 (续)

**Definition 2.3.1.10.** Let  $\Sigma = \{s_1, s_2, \dots, s_m\}$ ,  $m \geq 1$ , be an alphabet, and let  $s_1 < s_2 < \dots < s_m$  be a linear ordering on  $\Sigma$ . We define the **canonical ordering** on  $\Sigma^*$  as follows. For all  $u, v \in \Sigma^*$ ,

$$\begin{aligned} u < v \text{ if } &|u| < |v| \\ &\text{or } |u| = |v|, u = xs_iu', \text{ and } v = xs_jv' \\ &\text{for some } x, u', v' \in \Sigma^*, \text{ and } i < j. \end{aligned}$$

- 我们为什么需要一种词的排序规则？
- 你能解释这条排序规则吗？
- 你能给出一种不同的排序规则吗？

# 问题2：判定和优化问题

**Definition 2.3.2.1.** A decision problem is a triple  $(L, U, \Sigma)$  where  $\Sigma$  is an alphabet and  $L \subseteq U \subseteq \Sigma^*$ . An algorithm  $A$  solves (decides) the decision problem  $(L, U, \Sigma)$  if, for every  $x \in U$ ,

- (i)  $A(x) = 1$  if  $x \in L$ , and
- (ii)  $A(x) = 0$  if  $x \in U - L$  ( $x \notin L$ ).

- decision problem中的三个符号分别表示什么意思？
  - 这里的word是什么？
- 判定算法应该给出怎样的结果？

An equivalent form of a description of a decision problem is the following form that specifies the input-output behavior.

**Problem  $(L, U, \Sigma)$**

Input: An  $x \in U$ .  
Output: "yes" if  $x \in L$ ,  
"no" otherwise.

For many decision problems  $(L, U, \Sigma)$  we assume  $U = \Sigma^*$ . In that case we shall use the short notation  $(L, \Sigma)$  instead of  $(L, \Sigma^*, \Sigma)$ .

- 你理解这段话的含义了吗？

# 问题2：判定和优化问题 (续)

- 这些判定问题分别是什么含义？它们的L分别是什么？

- Primality testing  $\{w \in \{0,1\}^* \mid \text{Number}(w) \text{ is a prime}\}$
- Equivalence problem for polynomials
- Satisfiability problem  $\{w \in \Sigma_{\text{logic}}^+ \mid w \text{ is a code of a satisfiable formula in CNF}\}$
- Clique problem  $\{x\#w \in \{0,1,\#\}^* \mid x \in \{0,1\}^* \text{ and } w \text{ represents a graph that contains a clique of size } \text{Number}(x)\}$
- Vertex cover problem  $\{u\#w \in \{0,1,\#\}^+ \mid u \in \{0,1\}^+ \text{ and } w \text{ represents a graph that contains a vertex cover of size } \text{Number}(u)\}$
- Hamiltonian cycle problem  $\{w \in \{0,1,\#\}^* \mid w \text{ represents a graph that contains a Hamiltonian cycle}\}$
- Existence of a solution of linear integer programming  $\{(A,b) \in \{0,1,\#\}^* \mid \text{Sol}_{\mathbb{Z}}(A,b) \neq \emptyset\}$

# 问题2：判定和优化问题 (续)

**Definition 2.3.2.2.** An optimization problem is a 7-tuple  $U = (\Sigma_I, \Sigma_O, L, L_I, \mathcal{M}, \text{cost}, \text{goal})$ , where

- (i)  $\Sigma_I$  is an alphabet, called the **input alphabet** of  $U$ ,
- (ii)  $\Sigma_O$  is an alphabet, called the **output alphabet** of  $U$ ,
- (iii)  $L \subseteq \Sigma_I^*$  is the **language of feasible problem instances**,
- (iv)  $L_I \subseteq L$  is the **language of the (actual) problem instances of  $U$** ,
- (v)  $\mathcal{M}$  is a function from  $L$  to  $\text{Pot}(\Sigma_O^*)$ ,<sup>30</sup> and, for every  $x \in L$ ,  $\mathcal{M}(x)$  is called the **set of feasible solutions** for  $x$ ,
- (vi)  $\text{cost}$  is the **cost function** that, for every pair  $(u, x)$ , where  $u \in \mathcal{M}(x)$  for some  $x \in L$ , assigns a positive real number  $\text{cost}(u, x)$ ,
- (vii)  $\text{goal} \in \{\text{minimum}, \text{maximum}\}$ .

- optimization problem中的七个符号分别表示什么意思？

An algorithm  $A$  is **consistent** for  $U$  if, for every  $x \in L_I$ , the output  $A(x) \in \mathcal{M}(x)$ . We say that an algorithm  $B$  **solves** the optimization problem  $U$  if

- (i)  $B$  is consistent for  $U$ , and
- (ii) for every  $x \in L_I$ ,  $B(x)$  is an optimal solution for  $x$  and  $U$ .

- 优化算法应该给出怎样的结果？

## 问题2：判定和优化问题 (续)

- 你能简述minimum vertex cover problem的含义吗？

Input: A graph  $G = (V, E)$ .

Constraints:  $\mathcal{M}(G) = \{S \subseteq V \mid \text{every edge of } E \text{ is incident to at least one vertex of } S\}$ .

Cost: For every  $S \in \mathcal{M}(G)$ ,  $cost(S, G) = |S|$ .

Goal: *minimum*.

- 它和判定问题中的vertex cover problem之间有什么联系？

$\{u\#w \in \{0, 1, \#\}^+ \mid u \in \{0, 1\}^+ \text{ and } w \text{ represents a graph that contains a vertex cover of size } Number(u)\}$

# 问题2：判定和优化问题 (续)

- 你能简述maximum clique problem的含义吗？

Input: A graph  $G = (V, E)$

Constraints:  $\mathcal{M}(G) = \{S \subseteq V \mid \{\{u, v\} \mid u, v \in S, u \neq v\} \subseteq E\}$ .  
 $\{\mathcal{M}(G) \text{ contains all complete subgraphs (cliques) of } G\}$

Costs: For every  $S \in \mathcal{M}(G)$ ,  $cost(S, G) = |S|$ .

Goal: *maximum*.

- 它和判定问题中的clique problem之间有什么联系？

$\{x\#w \in \{0, 1, \#\}^* \mid x \in \{0, 1\}^* \text{ and } w \text{ represents a graph}$   
that contains a clique of size  $Number(x)\}$



## 问题2：判定和优化问题 (续)

- 你能简述maximum cut problem的含义吗？

Input: A graph  $G = (V, E)$ .

Constraints:

$$\mathcal{M}(G) = \{(V_1, V_2) \mid V_1 \cup V_2 = V, V_1 \neq \emptyset \neq V_2, \text{ and } V_1 \cap V_2 = \emptyset\}.$$

Costs: For every cut  $(V_1, V_2) \in \mathcal{M}(G)$ ,

$$\text{cost}((V_1, V_2), G) = |E \cap \{\{u, v\} \mid u \in V_1, v \in V_2\}|.$$

Goal: *maximum*.

- 你能给出一个与之相关的判定问题吗？

# 问题2：判定和优化问题 (续)

- 你能简述traveling salesperson problem的含义吗？

Input: A weighted complete graph  $(G, c)$ , where  $G = (V, E)$  and  $c : E \rightarrow \mathbb{N}$ . Let  $V = \{v_1, \dots, v_n\}$  for some  $n \in \mathbb{N} - \{0\}$ .

Constraints: For every input instance  $(G, c)$ ,  $\mathcal{M}(G, c) = \{v_{i_1}, v_{i_2}, \dots, v_{i_n}, v_{i_1} \mid (i_1, i_2, \dots, i_n) \text{ is a permutation of } (1, 2, \dots, n)\}$ , i.e., the set of all Hamiltonian cycles of  $G$ .

Costs: For every Hamiltonian cycle  $H = v_{i_1} v_{i_2} \dots v_{i_n} v_{i_1} \in \mathcal{M}(G, c)$ ,  
 $cost((v_{i_1}, v_{i_2}, \dots, v_{i_n}, v_{i_1}), (G, c)) = \sum_{j=1}^n c(\{v_{i_j}, v_{i_{(j \bmod n)+1}}\})$ ,  
i.e., the cost of every Hamiltonian cycle  $H$  is the sum of the weights of all edges of  $H$ .

Goal: *minimum*.

# 问题2：判定和优化问题 (续)

- 你能简述knapsack problem的含义吗？

Input: A positive integer  $b$ , and  $2n$  positive integers  $w_1, w_2, \dots, w_n, c_1, c_2, \dots, c_n$  for some  $n \in \mathbb{N} - \{0\}$ .

Constraints:

$$\mathcal{M}(b, w_1, \dots, w_n, c_1, \dots, c_n) = \{T \subseteq \{1, \dots, n\} \mid \sum_{i \in T} w_i \leq b\}.$$

Costs: For each  $T \in \mathcal{M}(b, w_1, \dots, w_n, c_1, \dots, c_n)$ ,

$$\text{cost}(T, b, w_1, \dots, w_n, c_1, \dots, c_n) = \sum_{i \in T} c_i.$$

Goal: *maximum*.

# 问题2：判定和优化问题 (续)

- 你能简述bin-packing problem的含义吗？

Input:  $n$  rational numbers  $w_1, w_2, \dots, w_n \in [0, 1]$  for some positive integer  $n$ .

Constraints:  $\mathcal{M}(w_1, w_2, \dots, w_n) = \{S \subseteq \{0, 1\}^n \mid \text{for every } s \in S, s^T \cdot (w_1, w_2, \dots, w_n) \leq 1, \text{ and } \sum_{s \in S} s = (1, 1, \dots, 1)\}$ .

{If  $S = \{s_1, s_2, \dots, s_m\}$ , then  $s_i = (s_{i1}, s_{i2}, \dots, s_{in})$  determines the set of objects packed in the  $i$ th bin. The  $j$ th object is packed into the  $i$ th bin if and only if  $s_{ij} = 1$ . The constraint

$$s_i^T \cdot (w_1, \dots, w_n) \leq 1$$

assures that the  $i$ th bin is not overfilled. The constraint

$$\sum_{s \in S} s = (1, 1, \dots, 1)$$

assures that every object is packed in exactly one bin.}

Cost: For every  $S \in \mathcal{M}(w_1, w_2, \dots, w_n)$ ,

$$\text{cost}(S, (w_1, \dots, w_n)) = |S|.$$

Goal: *minimum*.

# 问题2：判定和优化问题 (续)

- 你能简述makespan scheduling problem的含义吗？

Input: Positive integers  $p_1, p_2, \dots, p_n$  and an integer  $m \geq 2$  for some  $n \in \mathbb{N} - \{0\}$ .

$\{p_i$  is the processing time of the  $i$ th job on any of the  $m$  available machines}.

Constraints: For every input instance  $(p_1, \dots, p_n, m)$  of MS,

$\mathcal{M}(p_1, \dots, p_n, m) = \{S_1, S_2, \dots, S_m \mid S_i \subseteq \{1, 2, \dots, n\}$  for  $i = 1, \dots, m$ ,  $\bigcup_{k=1}^m S_k = \{1, 2, \dots, n\}$ , and  $S_i \cap S_j = \emptyset$  for  $i \neq j\}$ .

$\{\mathcal{M}(p_1, \dots, p_n, m)$  contains all partitions of  $\{1, 2, \dots, n\}$  into  $m$  subsets. The meaning of  $(S_1, S_2, \dots, S_m)$  is that, for  $i = 1, \dots, m$ , the jobs with indices from  $S_i$  have to be processed on the  $i$ th machine}.

Costs: For each  $(S_1, S_2, \dots, S_m) \in \mathcal{M}(p_1, \dots, p_n, m)$ ,

$cost((S_1, \dots, S_m), (p_1, \dots, p_n, m)) = \max \{\sum_{l \in S_i} p_l \mid i = 1, \dots, m\}$ .

Goal: *minimum*.

## 问题2：判定和优化问题 (续)

- 你能简述set cover problem的含义吗？

Input:  $(X, \mathcal{F})$ , where  $X$  is a finite set and  $\mathcal{F} \subseteq \text{Pot}(X)$  such that  $X = \bigcup_{S \in \mathcal{F}} S$ .

Constraints: For every input  $(X, \mathcal{F})$ ,  
 $\mathcal{M}(X, \mathcal{F}) = \{C \subseteq \mathcal{F} \mid X = \bigcup_{S \in C} S\}$ .

Costs: For every  $C \in \mathcal{M}(X, \mathcal{F})$ ,  $\text{cost}(C, (X, \mathcal{F})) = |C|$ .

Goal: *minimum*.

# 问题2：判定和优化问题 (续)

- 你能简述maximum satisfiability problem的含义吗？

Input: A formula  $\Phi = F_1 \wedge F_2 \wedge \dots \wedge F_m$  over  $X = \{x_1, x_2, \dots\}$  in CNF  
(an equivalent description of this instance of MAX-SAT is to consider the set of clauses  $F_1, F_2, \dots, F_m$ ).

Constraints: For every formula  $\Phi$  over the set  $\{x_1, \dots, x_n\} \subseteq X, n \in \mathbb{N} - \{0\}$ ,  
 $\mathcal{M}(\Phi) = \{0, 1\}^n$ .  
{Every assignment of values to  $\{x_1, \dots, x_n\}$  is a feasible solution,  
i.e.,  $\mathcal{M}(\Phi)$  can also be written as  $\{\alpha \mid \alpha : X \rightarrow \{0, 1\}\}$ .

Costs: For every  $\Phi$  in CNF, and every  $\alpha \in \mathcal{M}(\Phi)$ ,  
 $cost(\alpha, \Phi)$  is the number of clauses satisfied by  $\alpha$ .

Goal: *maximum*.

# 问题2：判定和优化问题 (续)

- 你能简述integer linear programming的含义吗？

Input: An  $m \times n$  matrix  $A = [a_{ij}]_{i=1,\dots,m,j=1,\dots,n}$ , and two vectors  $b = (b_1, \dots, b_m)^\top$ ,  $c = (c_1, \dots, c_n)^\top$  for some  $n, m \in \mathbb{N} - \{0\}$ ,  $a_{ij}, b_i, c_j$  are integers for  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ .

Constraints:  $\mathcal{M}(A, b, c) = \{X = (x_1, \dots, x_n) \in \mathbb{Z}^n \mid AX = b \text{ and } x_i \geq 0 \text{ for } i = 1, \dots, n\}$ .

Costs: For every  $X = (x_1, \dots, x_n) \in \mathcal{M}(A, b, c)$ ,  
 $cost(X, (A, b, c)) = \sum_{i=1}^n c_i x_i$ .

Goal: *minimum*.



## 问题2：判定和优化问题 (续)

- 你能简述maximum linear equation problem mod  $k$ 的含义吗？

**Input:** A set  $S$  of  $m$  linear equations over  $n$  unknowns,  $n, m \in \mathbb{N} - \{0\}$ , with coefficients from  $\mathbb{Z}_k$ .

(An alternative description of an input is an  $m \times n$  matrix over  $\mathbb{Z}_k$  and a vector  $b \in \mathbb{Z}_k^m$ ).

**Constraints:**  $\mathcal{M}(S) = \mathbb{Z}_k^m$   
{a feasible solution is any assignment of values from  $\{0, 1, \dots, k-1\}$  to the  $n$  unknowns (variables)}.

**Costs:** For every  $X \in \mathcal{M}(S)$ ,  
 $cost(X, S)$  is the number of linear equations of  $S$  satisfied by  $X$ .

**Goal:** *maximum*.