

# Recursive Functions

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# Why we study it?

- Computability theory
- Number theory
- Proof theory

# Primitive Recursive Functions

## Computable

### Computable

A given function  $g$  is said to be computable if it "can be computed by some program".

# Primitive Recursive Functions

## Definition

### Primitive Recursive Functions

A function is called primitive recursive if it can be obtained from the initial functions by a finite number of applications of composition and recursion.

### Initial functions

Constant function:  $n(x) = 0$

Successor function:  $S(x) = x + 1$

Projection function:  $P_i^n(x_1, \dots, x_n) = x_i$ .

# Primitive Recursive Functions

## Composition

### Composition

Let  $f$  be a function of  $k$  variables and let  $g_1, \dots, g_k$  be functions of  $n$  variables. Let

$$h(x_1, \dots, x_n) = f(g_1(x_1, \dots, x_n), \dots, g_k(x_1, \dots, x_n)).$$

Then  $h$  is said to be obtained from  $f$  and  $g_1, \dots, g_k$  by composition.

### Theorem I

If  $h$  is obtained from the computable functions  $f, g_1, \dots, g_k$  by composition, then  $h$  is computable.

# Primitive Recursive Functions

## Recursion

### Recursion I

Suppose  $k$  is some fixed number and

$$\begin{aligned}h(0) &= k, \\h(t+1) &= g(t, h(t)),\end{aligned}$$

then  $h$  is said to be obtained from  $g$  by recursion.

### Recursion II

$$\begin{aligned}h(x_1, \dots, x_n, 0) &= f(x_1, \dots, x_n), \\h(x_1, \dots, x_n, t+1) &= g(t, h(x_1, \dots, x_n, t), x_1, \dots, x_n).\end{aligned}$$

### Theorem II

Let  $h$  be obtained from  $g$  (and  $f$ ), and let  $g$  (and  $f$ ) be computable. Then  $h$  is also computable.

# Primitive Recursive Functions

## Theorems

### Theorem III

Every primitive recursive function is computable.

### Theorem IV

Not all computable functions are primitive recursive.

# Primitive Recursive Functions

## Examples

$x + y$

The recursion equations for  $f(x, y) = x + y$  are

$$\begin{aligned}f(x, 0) &= x, \\f(x, y + 1) &= f(x, y) + 1.\end{aligned}$$

We can rewrite these equations as

$$\begin{aligned}f(x, 0) &= P_1^1(x), \\f(x, y + 1) &= S(P_2^3(y, f(x, y), x)).\end{aligned}$$



# Primitive Recursive Functions

## Examples

$x \cdot y$

The recursion equations for  $f(x, y) = x \cdot y$  are

$$\begin{aligned}f(x, 0) &= 0, \\f(x, y + 1) &= f(x, y) + x.\end{aligned}$$

We can rewrite these equations as

$$\begin{aligned}f(x, 0) &= n(x), \\f(x, y + 1) &= g(P_2^3(y, f(x, y), x), P_3^3(y, h(x, y), x)).\end{aligned}$$

Here  $g(x_1, x_2)$  is  $x_1 + x_2$ .

# Primitive Recursive Functions

## Examples

$x!$

The recursion equations for  $h(x) = x!$  are

$$\begin{aligned}0! &= 1, \\(x + 1)! &= x! \cdot S(x).\end{aligned}$$

We can rewrite these equations as

$$\begin{aligned}h(0) &= 1, \\h(t + 1) &= g(t, h(t)), \\g(x_1, x_2) &= S(x_1) \cdot x_2.\end{aligned}$$

# Primitive Recursive Functions

## Examples

$P(x)$

The predecessor function  $P(x)$  is defined as follows:

$$P(x) = \begin{cases} x - 1 & x \neq 0 \\ 0 & x = 0. \end{cases}$$

The recursion equations for  $P(x)$  are

$$\begin{aligned} P(0) &= 0, \\ P(t + 1) &= t. \end{aligned}$$

# Primitive Recursive Functions

## Examples

$x \dot{-} y$

The function  $x \dot{-} y$  is defined as follows:

$$x \dot{-} y = \begin{cases} x - y & x \geq y \\ 0 & x < y. \end{cases}$$

The recursion equations for  $x \dot{-} y$  are

$$\begin{aligned} x \dot{-} 0 &= x, \\ x \dot{-} (t + 1) &= P(x \dot{-} t). \end{aligned}$$

# Primitive Recursive Functions

## Examples

$$|x - y|$$

$|x - y|$  is primitive recursive since

$$|x - y| = (x \dot{-} y) + (y \dot{-} x).$$

# Primitive Recursive Functions

## Examples

$\alpha(x)$

The function  $\alpha(x)$  is defined as follows:

$$\alpha(x) = \begin{cases} 1 & x = 0 \\ 0 & x \neq 0. \end{cases}$$

$\alpha(x)$  is primitive recursive since

$$\alpha(x) = 1 \dot{-} x.$$

Or we can simply write the recursion equations:

$$\alpha(0) = 1.$$

$$\alpha(t+1) = 0.$$

# Primitive Recursive Functions

## Examples

$\neg x$

$$\neg P = \alpha(P)$$

$x \wedge y$

$$x \wedge y = x \cdot y$$

$x \vee y$

$$x \vee y = \neg(\neg x \wedge \neg y)$$

# Primitive Recursive Functions

## Examples

$$x = y$$

$$d(x, y) = \begin{cases} 1 & x = y \\ 0 & x \neq y \end{cases}$$

It is primitive recursive since

$$d(x, y) = \alpha(|x - y|).$$

$$x \neq y$$

$$e(x, y) = \alpha(d(x, y))$$

$$x \leq y$$

$$\alpha(x \dot{-} y)$$

$$x < y$$

$$\neg(y \leq x)$$



# Primitive Recursive Functions

## Examples

### If-Else

$$f(x_1, \dots, x_n) = \begin{cases} g(x_1, \dots, x_n) & P(x_1, \dots, x_n) \\ h(x_1, \dots, x_n) & \text{otherwise} \end{cases}$$

It is primitive recursive because

$$f(\dots) = g(x_1, \dots, x_n) \cdot P(x_1, \dots, x_n) + h(x_1, \dots, x_n) \cdot \alpha(P(x_1, \dots, x_n)).$$

# Primitive Recursive Functions

## Examples

Σ

The function  $h(x_1, \dots, x_n, m)$  is defined as follows:

$$h(x_1, \dots, x_n, m) = \sum_{i=0}^m f(x_1, \dots, x_n, i).$$

The recursion equations are

$$\begin{aligned}h(x_1, \dots, x_n, 0) &= f(x_1, \dots, x_n, 0), \\h(x_1, \dots, x_n, y + 1) &= g(h(x_1, \dots, x_n, y), f(x_1, \dots, x_n, y + 1)), \\g(x, y) &= x + y.\end{aligned}$$

# Primitive Recursive Functions

## Examples

### A complicated example

The function  $g$  is defined as follows:

$$g(x, k_1, \dots, k_r, C_1, \dots, C_r, f) = \begin{cases} f(x) & \nexists i : k_i = x \\ C_i & \exists i : k_i = x. \end{cases}$$

It is primitive recursive since

$$g(x, k_1, \dots, k_r, C_1, \dots, C_r, f) = f(x)\alpha\left(\sum_{i=1}^r d(x, k_i)\right) + \sum_{i=1}^r C_i d(x, k_i).$$

# Primitive Recursive Functions

## Examples

### Forall

$$(\forall t)_{\leq y} P(t, x_1, \dots, x_n) \Leftrightarrow [\prod_{t=0}^y P(t, x_1, \dots, x_n)] = 1$$

### Exist

$$(\exists t)_{\leq y} P(t, x_1, \dots, x_n) \Leftrightarrow [\sum_{t=0}^y P(t, x_1, \dots, x_n)] \neq 0$$

### Minimalization

$$\min_{t \leq y} P(t, x_1, \dots, x_n) = \begin{cases} g(y, x_1, \dots, x_n) & \text{if } (\exists t)_{\leq y} P(t, x_1, \dots, x_n) \\ 0 & \text{otherwise} \end{cases}$$
$$g(y, x_1, \dots, x_n) = \sum_{u=0}^y \prod_{i=0}^u \alpha(P(t, x_1, \dots, x_n))$$

Prime(x)     $p_n$      $\lfloor \frac{x}{y} \rfloor$      $x \bmod y$      $\phi(x)$      $\mu(x)$  ...

# Primitive Recursive Functions

## Theorem IV

Not all computable functions are primitive recursive.

The PR functions of one argument can be **computably enumerated**.

This means that we can use some Gödel numbering to encode definitions of PR function as numbers. Let  $f_n$  denote the  $n$ -th unary PR function.

Now define the function  $ev$  by  $ev(i, j) = f_i(j)$ . Suppose  $ev$  were PR, then the function  $g$  defined by  $g(i) = S(ev(i, i))$  would also be PR. But there is some number  $n$  such that  $g = f_n$ , then

$$g(n) = S(ev(n, n)) = S(f_n(n)) = S(g(n))$$

gives a contradiction. So  $ev$  is not PR.

# $\mu$ -recursive function

## Definition

### $\mu$ -recursive function

The  $\mu$ -**recursive functions** (or **general recursive functions**) are partial functions that take finite tuples of natural numbers and return a single natural number. They includes the initial functions and is closed under composition, primitive recursion and the  $\mu$  operator.

# $\mu$ -recursive function

## Definition

### Minimization

$$\mu(f)(x_1, \dots, x_k) = z \iff \begin{aligned} &f(i, x_1, \dots, x_k) > 0 \quad \text{for } i = 0, \dots, z-1 \\ &f(z, x_1, \dots, x_k) = 0 \end{aligned}$$

# $\mu$ -recursive function

## Comparison

- The  $\mu$ -recursive functions are closely related to PR functions, and their inductive definition builds upon that of the PR functions.
- The PR functions are a subset of the  $\mu$ -recursive functions.



# $\mu$ -recursive function

## Ackermann function

### Definition

$$A(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ A(m - 1, 1) & \text{if } m > 0 \text{ and } n = 0 \\ A(m - 1, A(m, n - 1)) & \text{if } m > 0 \text{ and } n > 0 \end{cases}$$

Its value grows rapidly, even for small inputs. For example,  $A(4, 2)$  is an integer of 19729 decimal digits (equivalent to  $2^{65536} - 3$ ).

Since the function  $f(n) = A(n, n)$  grows very rapidly, its inverse function,  $f^{-1}$ , grows very slowly. This **inverse Ackermann function**  $f^{-1}$  is usually denoted by  $\alpha$ . This inverse appears in the time complexity of some algorithms, such as the disjoint-set data structure and Chazelle's algorithm for minimum spanning trees.

# $\mu$ -recursive function

## Ackermann function

### Theorem V

the Ackermann function is not primitive recursive.

# $\mu$ -recursive function

## Ackermann function

### Lemma 1

$$A(m, n) \geq n + 1.$$

### Definition of Ackermann functions

$$A(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ A(m - 1, 1) & \text{if } m > 0 \text{ and } n = 0 \\ A(m - 1, A(m, n - 1)) & \text{if } m > 0 \text{ and } n > 0 \end{cases}$$

### Proof.

$$A(0, n) = n + 1 \geq n + 1$$

$$A(m + 1, 0) = A(m, 1) \geq 1 + 1 > 0 + 1$$

$$A(m + 1, n + 1) = A(m, A(m + 1, n)) \geq A(m + 1, n) + 1 \geq (n + 1) + 1$$



# $\mu$ -recursive function

## Ackermann function

### Lemma II

$$A(m, n + 1) > A(m, n).$$

### Definition of Ackermann functions

$$A(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ A(m - 1, 1) & \text{if } m > 0 \text{ and } n = 0 \\ A(m - 1, A(m, n - 1)) & \text{if } m > 0 \text{ and } n > 0 \end{cases}$$

### Proof.

$$\begin{aligned} A(0, n + 1) &= n + 2 \geq n + 1 = A(0, n) \\ A(m + 1, n + 1) &= A(m, A(m + 1, n)) > A(m + 1, n) \end{aligned}$$



# $\mu$ -recursive function

## Ackermann function

### Lemma III

$$A(m + 1, n) \geq A(m, n).$$

### Definition of Ackermann functions

$$A(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ A(m - 1, 1) & \text{if } m > 0 \text{ and } n = 0 \\ A(m - 1, A(m, n - 1)) & \text{if } m > 0 \text{ and } n > 0 \end{cases}$$

### Proof.

$$\begin{aligned} A(m + 1, n) &\geq n + 2 \\ A(m + 1, n + 1) &= A(m, A(m + 1, n)) > A(m, n + 1) \end{aligned}$$



### Corollary

$$f(m_1, n_1) > f(m, n) \quad \text{when} \quad m_1 > m, n_1 \geq n.$$

# $\mu$ -recursive function

## Ackermann function

### Lemma IV

For any PR function  $g(x_1, \dots, x_k)$ , we have

$$\exists m : (\forall a_1, \dots, a_k : g(a_1, \dots, a_k) < A(m, u)),$$

where  $u = \max\{a_1, \dots, a_k\}$ .

### Proof.

$$n(n) = 0 < n + 1 = A(0, n).$$

$$S(n) = n + 1 < n + 2 = A(1, n).$$

$$P_m^k(x_1, \dots, x_k) = x_m < u + 1 = A(0, u).$$

$$g(\dots) < A(m_0, \max\{A(m_1, u), \dots, A(m_r, u)\}) \leq A(\tilde{m}, A(\tilde{m}, u))$$

$$< A(\tilde{m}, A(\tilde{m} + 1, u)) = A(\tilde{m} + 1, u + 1) \leq A(\tilde{m} + 2, u).$$

$$h(t) < A(m, t), g(x) < A(m + 1, x),$$

$$g(x + 1) = h(g(x)) < A(m, g(x)) < A(m, A(m + 1, x)) = A(m + 1, x + 1),$$

$$A(m + 1, x) \leq A(m + 1, u).$$



# $\mu$ -recursive function

## Ackermann function

### Theorem V

the Ackermann function is not primitive recursive.

### Proof.

Suppose  $A(m, n)$  is PR, there exists  $m_0$  that

$$A(m, n) < A(m_0, \max\{m, n\}).$$

Let  $m = n = m_0$ , then  $A(m_0, m_0) < A(m_0, m_0)$  gives a contradiction.  $\square$

The Founding(Primitive) Titan must brainwash people by Primitive Recursive functions.

