

2-15 Red-black Tree

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TC-13.1-5

Show that the longest simple path from a node x in a red-black tree to a descendant leaf has length at most twice that of the shortest simple path from node x to a descendant leaf.

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$$\blacktriangleright len(x, a) = \# \bigcirc \text{ in } (x, a) + \# \bigcirc \text{ in } (x, b) - 1$$

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- ▶ $\# \bigcirc \text{ in } (x, a) = len_{black}(x, a) = len_{black}(x, b) = \# \bigcirc \text{ in } (x, b)$

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- ▶ $\# \bigcirc \text{ in } (x, a) = len_{black}(x, a) = len_{black}(x, b) = \# \bigcirc \text{ in } (x, b)$
- ▶ $\# \bigcirc \text{ in } (x, a) > \# \bigcirc \text{ in } (x, a) + 2\# \bigcirc \text{ in } (x, b) - 1$
- ▶ $\# \bigcirc \text{ in } (x, a) \geq \# \bigcirc \text{ in } (x, a).$

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- ▶ $len(x, a) = \# \bigcirc \text{ in } (x, a) + \# \bigcirc \text{ in } (x, a) - 1$
- ▶ $len(x, b) = \# \bigcirc \text{ in } (x, b) + \# \bigcirc \text{ in } (x, b) - 1$
- ▶ $\# \bigcirc \text{ in } (x, a) = len_{black}(x, a) = len_{black}(x, b) = \# \bigcirc \text{ in } (x, b)$
- ▶ $\# \bigcirc \text{ in } (x, a) > \# \bigcirc \text{ in } (x, a) + 2\# \bigcirc \text{ in } (x, b) - 1$
- ▶ $\# \bigcirc \text{ in } (x, a) \geq \# \bigcirc \text{ in } (x, a)$. **Impossible!**



TC-13.1-7

Describe a red-black tree on n keys that realizes the largest possible ratio of red internal nodes to black internal nodes. What is this ratio? What tree has the smallest possible ratio, and what is the ratio?

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Answer.

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Answer.

- ▶ Largest: a tree with 3 three nodes and the root is the only black one. The ratio is 2.

TC-13.1-7

Describe a red-black tree on n keys that realizes the largest possible ratio of red internal nodes to black internal nodes. What is this ratio? What tree has the smallest possible ratio, and what is the ratio?

Answer.

- ▶ Largest: a tree with 3 nodes and the root is the only black one. The ratio is 2.
- ▶ Smallest: a tree with only a (black) root node. The ratio is 0



TC-13.3-1

In line 16 of RB-INSERT, we set the color of the newly inserted node z to red.

Observe that if we had chosen to set z 's color to black, then property 4 of a red-black tree would not be violated. Why didn't we choose to set z 's color to black?

```
RB-INSERT( $T, z$ )
1   $y = T.nil$ 
2   $x = T.root$ 
3  while  $x \neq T.nil$ 
4       $y = x$ 
5      if  $z.key < x.key$ 
6           $x = x.left$ 
7      else  $x = x.right$ 
8   $z.p = y$ 
9  if  $y == T.nil$ 
10      $T.root = z$ 
11 elseif  $z.key < y.key$ 
12      $y.left = z$ 
13 else  $y.right = z$ 
14  $z.left = T.nil$ 
15  $z.right = T.nil$ 
16  $z.color = RED$ 
17 RB-INSERT-FIXUP( $T, z$ )
```

TC-13.3-1

In line 16 of RB-INSERT, we set the color of the newly inserted node z to red.

Observe that if we had chosen to set z 's color to black, then property 4 of a red-black tree would not be violated. Why didn't we choose to set z 's color to black?

Answer.

P5 is violated!

P5. For each node, all simple paths from the node to descendant leaves contain the same number of black nodes.



RB-INSERT(T, z)

```
1   $y = T.nil$ 
2   $x = T.root$ 
3  while  $x \neq T.nil$ 
4       $y = x$ 
5      if  $z.key < x.key$ 
6           $x = x.left$ 
7      else  $x = x.right$ 
8   $z.p = y$ 
9  if  $y == T.nil$ 
10      $T.root = z$ 
11  elseif  $z.key < y.key$ 
12      $y.left = z$ 
13  else  $y.right = z$ 
14   $z.left = T.nil$ 
15   $z.right = T.nil$ 
16   $z.color = RED$ 
17  RB-INSERT-FIXUP( $T, z$ )
```

TC-13.3-5

Consider a red-black tree formed by inserting n nodes with RB-INSERT. Argue that if $n > 1$, the tree has at least one red node.

RB-INSERT(T, z)

```
1   $y = T.nil$ 
2   $x = T.root$ 
3  while  $x \neq T.nil$ 
4       $y = x$ 
5      if  $z.key < x.key$ 
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7      else  $x = x.right$ 
8   $z.p = y$ 
9  if  $y == T.nil$ 
10      $T.root = z$ 
11 elseif  $z.key < y.key$ 
12      $y.left = z$ 
13 else  $y.right = z$ 
14  $z.left = T.nil$ 
15  $z.right = T.nil$ 
16  $z.color = RED$ 
17 RB-INSERT-FIXUP( $T, z$ )
```

RB-INSERT-FIXUP(T, z)

```
1  while  $z.p.color == RED$ 
2      if  $z.p == z.p.p.left$ 
3           $y = z.p.p.right$ 
4          if  $y.color == RED$ 
5               $z.p.color = BLACK$ 
6               $y.color = BLACK$ 
7               $z.p.p.color = RED$ 
8               $z = z.p.p$ 
9          else if  $z == z.p.right$ 
10              $z = z.p$ 
11             LEFT-ROTATE( $T, z$ )
12              $z.p.color = BLACK$ 
13              $z.p.p.color = RED$ 
14             RIGHT-ROTATE( $T, z.p.p$ )
15          else (same as then clause
16              with “right” and “left” exchanged)
17   $T.root.color = BLACK$ 
```


TC-13.3-5

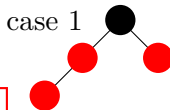
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16  $z.color = RED$ 
17 RB-INSERT-FIXUP( $T, z$ )
```

RB-INSERT-FIXUP(T, z)

```
1  while  $z.p.color == RED$ 
2      if  $z.p == z.p.p.left$ 
3           $y = z.p.p.right$ 
4          if  $y.color == RED$ 
5               $z.p.color = BLACK$ 
6               $y.color = BLACK$ 
7               $z.p.p.color = RED$ 
8               $z = z.p.p$ 
9          else if  $z == z.p.right$ 
10              $z = z.p$ 
11             LEFT-ROTATE( $T, z$ )
12              $z.p.color = BLACK$ 
13              $z.p.p.color = RED$ 
14             RIGHT-ROTATE( $T, z.p.p$ )
15          else (same as then clause
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17   $T.root.color = BLACK$ 
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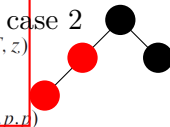
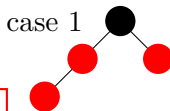
```

RB-INSERT-FIXUP(T, z)

```

1  while  $z.p.color == RED$ 
2      if  $z.p == z.p.p.left$ 
3           $y = z.p.p.right$ 
4          if  $y.color == RED$ 
5               $z.p.color = BLACK$ 
6               $y.color = BLACK$ 
7               $z.p.p.color = RED$ 
8               $z = z.p.p$ 
9          else if  $z == z.p.right$ 
10              $z = z.p$ 
11             LEFT-ROTATE( $T, z$ )
12              $z.p.color = BLACK$ 
13              $z.p.p.color = RED$ 
14             RIGHT-ROTATE( $T, z.p.p$ )
15     else (same as then clause
16         with “right” and “left” exchanged)
17      $T.root.color = BLACK$ 

```



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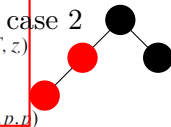
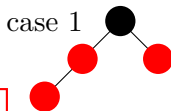
```

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2   $x = T.root$ 
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8   $z.p = y$ 
9  if  $y == T.nil$ 
10      $T.root = z$ 
11  elseif  $z.key < y.key$ 
12      $y.left = z$ 
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14   $z.left = T.nil$ 
15   $z.right = T.nil$ 
16   $z.color = RED$ 
17  RB-INSERT-FIXUP( $T, z$ )
    
```

RB-INSERT-FIXUP(T, z)

```

1  while  $z.p.color == RED$ 
2      if  $z.p == z.p.p.left$ 
3           $y = z.p.p.right$ 
4          if  $y.color == RED$ 
5               $z.p.color = BLACK$ 
6               $y.color = BLACK$ 
7               $z.p.p.color = RED$ 
8               $z = z.p.p$ 
9          else if  $z == z.p.right$ 
10              $z = z.p$ 
11             LEFT-ROTATE( $T, z$ )
12              $z.p.color = BLACK$ 
13              $z.p.p.color = RED$ 
14             RIGHT-ROTATE( $T, z.p.p$ )
15          else (same as then clause
16              with “right” and “left” exchanged)
17              $T.root.color = BLACK$ 
    
```



TC-13.4-1

Argue that after executing RB-DELETE-FIXUP, the root of the tree must be black.

RB-DELETE(T, z)

```
1   $y = z$ 
2   $y\text{-original-color} = y\text{-color}$ 
3  if  $z\text{-left} == T\text{-nil}$ 
4       $x = z\text{-right}$ 
5      RB-TRANSPLANT( $T, z, z\text{-right}$ )
6  elseif  $z\text{-right} == T\text{-nil}$ 
7       $x = z\text{-left}$ 
8      RB-TRANSPLANT( $T, z, z\text{-left}$ )
9  else  $y = \text{TREE-MINIMUM}(z\text{-right})$ 
10      $y\text{-original-color} = y\text{-color}$ 
11      $x = y\text{-right}$ 
12     if  $y\text{-p} == z$ 
13          $x\text{-p} = y$ 
14     else RB-TRANSPLANT( $T, y, y\text{-right}$ )
15          $y\text{-right} = z\text{-right}$ 
16          $y\text{-right.p} = y$ 
17     RB-TRANSPLANT( $T, z, y$ )
18      $y\text{-left} = z\text{-left}$ 
19      $y\text{-left.p} = y$ 
20      $y\text{-color} = z\text{-color}$ 
21 if  $y\text{-original-color} == \text{BLACK}$ 
22     RB-DELETE-FIXUP( $T, x$ )
```

RB-DELETE-FIXUP(T, x)

```
1  while  $x \neq T\text{-root}$  and  $x\text{-color} == \text{BLACK}$ 
2      if  $x == x\text{-p.left}$ 
3           $w = x\text{-p.right}$ 
4          if  $w\text{-color} == \text{RED}$ 
5               $w\text{-color} = \text{BLACK}$  // case 1
6               $x\text{-p.color} = \text{RED}$  // case 1
7              LEFT-ROTATE( $T, x\text{-p}$ ) // case 1
8               $w = x\text{-p.right}$  // case 1
9          if  $w\text{-left.color} == \text{BLACK}$  and  $w\text{-right.color} == \text{BLACK}$ 
10              $w\text{-color} = \text{RED}$  // case 2
11              $x = x\text{-p}$  // case 2
12         else if  $w\text{-right.color} == \text{BLACK}$ 
13              $w\text{-left.color} = \text{BLACK}$  // case 3
14              $w\text{-color} = \text{RED}$  // case 3
15             RIGHT-ROTATE( $T, w$ ) // case 3
16              $w = x\text{-p.right}$  // case 3
17              $w\text{-color} = x\text{-p.color}$  // case 4
18              $x\text{-p.color} = \text{BLACK}$  // case 4
19              $w\text{-right.color} = \text{BLACK}$  // case 4
20             LEFT-ROTATE( $T, x\text{-p}$ ) // case 4
21              $x = T\text{-root}$  // case 4
22         else (same as then clause with “right” and “left” exchanged)
23      $x\text{-color} = \text{BLACK}$ 
```

TC-13.4-1

Argue that after executing RB-DELETE-FIXUP, the root of the tree must be black.

```
RB-DELETE-FIXUP( $T, x$ )
1  while  $x \neq T.root$  and  $x.color == BLACK$ 
2      if  $x == x.p.left$ 
3           $w = x.p.right$ 
4          if  $w.color == RED$ 
5               $w.color = BLACK$  // case 1
6               $x.p.color = RED$  // case 1
7              LEFT-ROTATE( $T, x.p$ ) // case 1
8               $w = x.p.right$  // case 1
9          if  $w.left.color == BLACK$  and  $w.right.color == BLACK$ 
10              $w.color = RED$  // case 2
11              $x = x.p$  // case 2
12         else if  $w.right.color == BLACK$ 
13              $w.left.color = BLACK$  // case 3
14              $w.color = RED$  // case 3
15             RIGHT-ROTATE( $T, w$ ) // case 3
16              $w = x.p.right$  // case 3
17              $w.color = x.p.color$  // case 4
18              $x.p.color = BLACK$  // case 4
19              $w.right.color = BLACK$  // case 4
20             LEFT-ROTATE( $T, x.p$ ) // case 4
21              $x = T.root$  // case 4
22         else (same as then clause with "right" and "left" exchanged)
23      $x.color = BLACK$ 
```

Case 1:
 x 's sibling w is red

Case 2:
 x 's sibling w is black, and both of
 w 's children are black

Case 3:
 x 's sibling w is black, w 's left
child is red, and w 's right child is black

Case 4:
 x 's sibling w is black, and w 's right child
is red

TC-13.4-1

Argue that after executing RB-DELETE-FIXUP, the root of the tree must be black.

RB-DELETE-FIXUP(T, x)

```
1  while  $x \neq T.root$  and  $x.color == BLACK$ 
2      if  $x == x.p.left$ 
3           $w = x.p.right$ 
4          if  $w.color == RED$ 
5               $w.color = BLACK$  // case 1
6               $x.p.color = RED$  // case 1
7              LEFT-ROTATE( $T, x.p$ ) // case 1
8               $w = x.p.right$  // case 1
9          if  $w.left.color == BLACK$  and  $w.right.color == BLACK$ 
10              $w.color = RED$  // case 2
11              $x = x.p$  // case 2
12         else if  $w.right.color == BLACK$ 
13              $w.left.color = BLACK$  // case 3
14              $w.color = RED$  // case 3
15             RIGHT-ROTATE( $T, w$ ) // case 3
16              $w = x.p.right$  // case 3
17              $w.color = x.p.color$  // case 4
18              $x.p.color = BLACK$  // case 4
19              $w.right.color = BLACK$  // case 4
20             LEFT-ROTATE( $T, x.p$ ) // case 4
21              $x = T.root$  // case 4
22     else (same as then clause with "right" and "left" exchanged)
23      $x.color = BLACK$ 
```

Case 1:
 x 's sibling w is red

case 1 is converted into case 2,3, or 4.

Case 2:
 x 's sibling w is black, and both of
 w 's children are black

Case 3:
 x 's sibling w is black, w 's left
child is red, and w 's right child is black

Case 4:
 x 's sibling w is black, and w 's right child
is red

TC-13.4-1

Argue that after executing RB-DELETE-FIXUP, the root of the tree must be black.

RB-DELETE-FIXUP(T, x)

```
1  while  $x \neq T.root$  and  $x.color == BLACK$ 
2      if  $x == x.p.left$ 
3           $w = x.p.right$ 
4          if  $w.color == RED$ 
5               $w.color = BLACK$ 
6               $x.p.color = RED$ 
7              LEFT-ROTATE( $T, x.p$ )
8               $w = x.p.right$ 
9          if  $w.left.color == BLACK$  and  $w.right.color == BLACK$ 
10              $w.color = RED$ 
11              $x = x.p$ 
12         else if  $w.right.color == BLACK$ 
13              $w.left.color = BLACK$ 
14              $w.color = RED$ 
15             RIGHT-ROTATE( $T, w$ )
16              $w = x.p.right$ 
17              $w.color = x.p.color$ 
18              $x.p.color = BLACK$ 
19              $w.right.color = BLACK$ 
20             LEFT-ROTATE( $T, x.p$ )
21              $x = T.root$ 
22     else (same as then clause with "right" and "left" exchanged)
23      $x.color = BLACK$ 
```

Case 1:
 x 's sibling w is red

case 1 is converted into case 2,3, or 4.

Case 2:
 x 's sibling w is black, and both of
 w 's children are black

if terminates, the root of the subtree
(the new x) is set to black.

Case 3:
 x 's sibling w is black, w 's left
child is red, and w 's right child is black

Case 4:
 x 's sibling w is black, and w 's right child
is red

TC-13.4-1

Argue that after executing RB-DELETE-FIXUP, the root of the tree must be black.

RB-DELETE-FIXUP(T, x)

```
1  while  $x \neq T.root$  and  $x.color == BLACK$ 
2      if  $x == x.p.left$ 
3           $w = x.p.right$ 
4          if  $w.color == RED$ 
5               $w.color = BLACK$ 
6               $x.p.color = RED$ 
7              LEFT-ROTATE( $T, x.p$ )
8               $w = x.p.right$ 
9          if  $w.left.color == BLACK$  and  $w.right.color == BLACK$ 
10              $w.color = RED$ 
11              $x = x.p$ 
12         else if  $w.right.color == BLACK$ 
13              $w.left.color = BLACK$ 
14              $w.color = RED$ 
15             RIGHT-ROTATE( $T, w$ )
16              $w = x.p.right$ 
17              $w.color = x.p.right$ 
18              $x.p.color = BLACK$ 
19              $w.right.color = BLACK$ 
20             LEFT-ROTATE( $T, x.p$ )
21              $x = T.root$ 
22     else (same as then clause with "right" and "left" exchanged)
23      $x.color = BLACK$ 
```

Case 1:
 x 's sibling w is red

case 1 is converted into case 2,3, or 4.

Case 2:
 x 's sibling w is black, and both of
 w 's children are black

if terminates, the root of the subtree
(the new x) is set to black.

Case 3:
 x 's sibling w is black, w 's left
child is red, and w 's right child is black

transform to case 4.

Case 4:
 x 's sibling w is black, and w 's right child
is red

TC-13.4-1

Argue that after executing RB-DELETE-FIXUP, the root of the tree must be black.

RB-DELETE-FIXUP(T, x)

```
1  while  $x \neq T.root$  and  $x.color == BLACK$ 
2      if  $x == x.p.left$ 
3           $w = x.p.right$ 
4          if  $w.color == RED$ 
5               $w.color = BLACK$ 
6               $x.p.color = RED$ 
7              LEFT-ROTATE( $T, x.p$ )
8               $w = x.p.right$ 
9          if  $w.left.color == BLACK$  and  $w.right.color == BLACK$ 
10              $w.color = RED$ 
11              $x = x.p$ 
12         else if  $w.right.color == BLACK$ 
13              $w.left.color = BLACK$ 
14              $w.color = RED$ 
15             RIGHT-ROTATE( $T, w$ )
16              $w = x.p.right$ 
17              $w.color = x.p.color$ 
18              $x.p.color = BLACK$ 
19              $w.right.color = BLACK$ 
20             LEFT-ROTATE( $T, x.p$ )
21              $x = T.root$ 
22         else (same as then clause with "right" and "left" exchanged)
23      $x.color = BLACK$ 
```

Case 1:
 x 's sibling w is red

case 1 is converted into case 2,3, or 4.

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if terminates, the root of the subtree
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Case 3:
 x 's sibling w is black, w 's left
child is red, and w 's right child is black

transform to case 4.

Case 4:
 x 's sibling w is black, and w 's right child
is red

the root (the new x) is set to black.

TC-13.4-7

Suppose that a node x is inserted into a red-black tree with RB-INSERT and then is immediately deleted with RB-DELETE. Is the resulting red-black tree the same as the initial red-black tree? Justify your answer.

TC-13.4-7

Suppose that a node x is inserted into a red-black tree with RB-INSERT and then is immediately deleted with RB-DELETE. Is the resulting red-black tree the same as the initial red-black tree? Justify your answer.

Answer.

▶ NO!

TC-13.4-7

Suppose that a node x is inserted into a red-black tree with RB-INSERT and then is immediately deleted with RB-DELETE. Is the resulting red-black tree the same as the initial red-black tree? Justify your answer.

Answer.

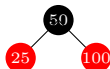
▶ NO!



insert 100



insert 50



insert 25



delete 25



RedBlack Tree Visualization

TC Problem 13-3 (AVL Tree)

- ▶ An algorithm for the organization of information (1962).
- ▶ Named after 2 Russian mathematicians:
 - ▶ Georgii Adelson-Velsky
 - ▶ Evgenii Mikhailovich Landis

Доклады Академии наук СССР
1962. Том 146, № 2

МАТЕМАТИКА

Г. М. АДЕЛЬСОН-ВЕЛЬСКИЙ, Е. М. ЛАНДИС

ОДИН АЛГОРИТМ ОРГАНИЗАЦИИ ИНФОРМАЦИИ

(Представлено академиком И. Г. Петровским 17 IV 1962)

В заметке будет идти речь об организации информации, расположенной в ячейках автоматической вычислительной машины. Для определенности будет рассматриваться трехадресная машина.

Постановка задачи. В машину последовательно поступит информация из некоторого запаса. Элемент информации содержится в группе ячеек, расположенных подряд. В элементе информации содержится некоторое число — оценка информации, — различное для различных элементов. Требуется организовать размещение информации в памяти машины так, чтобы в любой момент поиск информации с данной оценкой и занесение нового элемента информации требовали не очень большого числа действий. В заметке предлагается алгоритм, где как поиск, так и занесение производятся за $C \lg N$ действий, где N — число элементов информации, поступивших к данному моменту.

Для хранения поступающей информации отводится часть памяти машины. Элементы информации вносятся туда в порядке поступления. Кроме того, в другой части памяти создается справочный столб (*), каждая ячейка которого соответствует одному из элементов информации.

Справочный столб есть двучленное дерево (рис. 1а); у каждой его ячейки есть не более чем одна непосредственно подчиненная ей левая и не более чем одна непосредственно подчиненная ей правая ячейка. Непосредственная подчиненность индусирует подчиненность (включая упорядоченность). При этом для каждой ячейки дерева все ячейки, подчиненные левой (правой) непосредственно подчиненной, будут расположены левее (правее) данной ячейки. Кроме того, мы считаем, что существует ячейка, которой подчинены все остальные (голова). По транзитивности понятие «левее» и «правее» распространяется на множество всех пар ячеек, и это множество становится упорядоченным. Так, определенный порядок ячеек в справочном столбе должен совпадать с порядком расположения оценок соответствующих элементов информации (для определенности будем считать оценки возрастающими слева направо).

В первом адресе каждой ячейки справочного столба указано место, где расположен соответствующий элемент информации. Во втором и третьем адресах расположены адреса ячеек справочного столба, непосредственно подчиненных данной ячейке соответственно слева и справа. Если у ячейки с какой-нибудь стороны нет непосредственно подчиненных, то в соответствующем адресе — нуль. В некоторой фиксированной ячейке l хранится адрес головы.

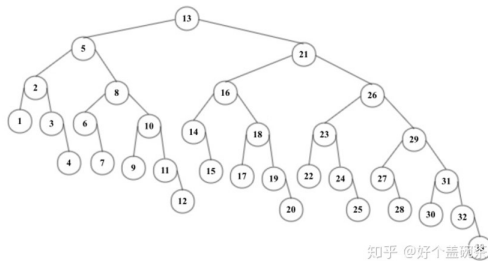
Назовем *цепочкой* последовательность ячеек дерева, в которой каждая последующая непосредственно подчинена предыдущей. Для каждой ячейки дерева введем длину левой (правой) ветви максимальной длины цепочки, состоящей из ячеек, подчиненных данной и расположенных левее (правее) данной ячейки. Любая цепочка, длина которой равна длине ветви, называется *стержем* ветви.

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TC Problem 13-3 (AVL Tree)

An AVL tree is a binary search tree that is **height balanced**: for each node x , the heights of the left and right subtrees of x differ by at most 1.

- ▶ To implement an AVL tree, we maintain an extra attribute in each node: $x.h$ is the height of node x .
- ▶ As for any other binary search tree T , we assume that $T.root$ points to the root node.



TC Problem 13-3 (a) I

- (a) Prove that an AVL tree with n nodes has height $O(\lg n)$. (Hint: Prove that an AVL tree of height h has at least F_h nodes, where F_h is the h -th Fibonacci number.)

TC Problem 13-3 (a) II

Proof.

$p(h)$: an AVL tree of height h has at least F_h nodes.

- ▶ (B) $p(1)$ is obvious true.
- ▶ (H) Assume $p(k)$ is true for all $k < h$
- ▶ (I) Let r be the root, $r.left$ and $r.right$ be the left and right subtrees of r accordingly. $|r|$: number of nodes in r .
 - ▶ Assume $h - 2 \leq r.left.h \leq r.right.h = h - 1$, then $|r.left| \geq F_{h-2}$ and $|r.right| \geq F_{h-1}$
 - ▶ So,
$$n = |r| = |r.left| + |r.right| + 1 \geq F_{h-2} + F_{h-1} + 1 \geq F_h = \lfloor \frac{\phi^h}{2} + \frac{1}{2} \rfloor$$
 - ▶ $h = O(\lg n)$

□

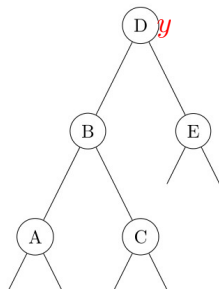
TC Problem 13-3 (b) I

- (b) To insert into an AVL tree, we first place a node into the appropriate place in binary search tree order. Afterward, the tree might no longer be height balanced. Specifically, the heights of the left and right children of some node might differ by 2. Describe a procedure $\text{BALANCE}(x)$, which takes a subtree rooted at x whose left and right children are height balanced and have heights that differ by at most 2, i.e., $|x.\text{right}.h - x.\text{left}.h| \leq 2$, and alters the subtree rooted at x to be height balanced. (Hint: Use rotations.)

TC Problem 13-3 (b) II

Answer

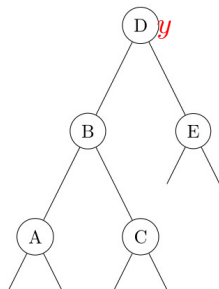
- ▶ Given a node x we define the balancing factor of x as $bf(x) = r.left.h - r.right.h$.
- ▶ After insertion, the height balance property (i.e., $|bf(x)| = |r.left.h - r.right.h| \leq 1$) might be broken.
- ▶ We have to maintain the property along the path from the inserted node to root.
- ▶ After insertion, $|bf(x)| = |r.left.h - r.right.h| \leq 2$
- ▶ Assuming $x.left.h \geq r.right.h = 2$



$$B.h - E.h = 2$$

TC Problem 13-3 (b) III

- ▶ Two subcases based on the difference between $A.h$ and $C.h$:
 - ▶ Case 1: $A.h \geq C.h$
 - ▶ case 2: $A.h < C.h$



$$B.h - E.h = 2$$

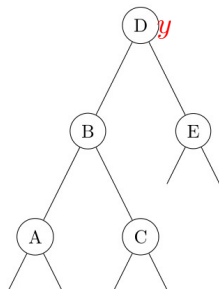
TC Problem 13-3 (b) IV

Case 1: $A.h \geq C.h$

► Assume $E.h = y$, then we have

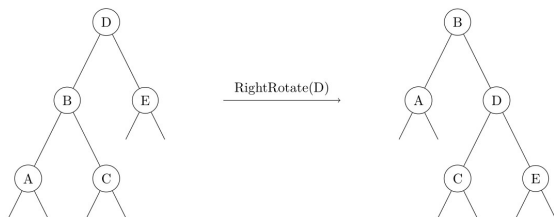
$$\begin{cases} B.h = y + 2 \\ A.h = y + 1 \\ y \leq C.h \leq y + 1 \end{cases}$$

► Right-Rotate at D



$$B.h - E.h = 2$$

TC Problem 13-3 (b) V



- ▶ $A.h, C.h, E.h$ keep unchanged, and

$$\begin{cases} 0 \leq C.h - E.h \leq 1 \\ y + 1 \leq D.h = \max(C.h, E.h) + 1 = C.h + 1 \leq y + 2 \\ 0 \leq D.h - A.h \leq 1 \end{cases}$$

- ▶ So, $|bf(B)| \leq 1$ and $|bf(D)| \leq 1$

TC Problem 13-3 (b) VI

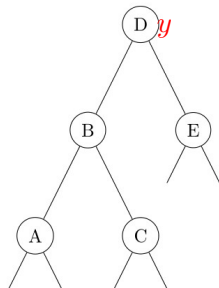
Case 2: $A.h < C.h$

▶ Assume $E.h = y$, then we have

$$\begin{cases} B.h = y + 2 \\ C.h = y + 1 \\ A.h = y \end{cases}$$

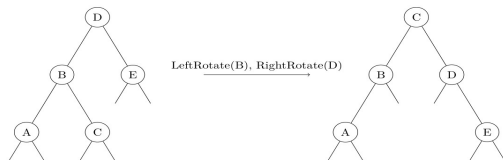
▶ Left-Rotate at B

▶ Right-Rotate at D



$$B.h - E.h = 2$$

TC Problem 13-3 (b) VII



- ▶ $A.h, E.h$ keep unchanged, and

$$\left\{ \begin{array}{l} y - 1 \leq B.right.h, D.left.h \leq y \\ 0 \leq A.h - B.right.h \leq 1 \\ 0 \leq E.h - D.left.h \leq 1 \\ B.h = \max(A.h, B.right.h) + 1 = y + 1 \\ D.h = \max(E.h, D.left.h) + 1 = y + 1 \\ B.h = D.h \end{array} \right.$$

- ▶ So, $|bf(B)| \leq 1$, $|bf(C)| \leq 1$ and $|bf(D)| \leq 1$

TC Problem 13-3 (b) VIII

Proof.

BALANCE(x)

```
1: procedure BALANCE( $x$ )
2:   if  $|bf(x)| = 2$  then
3:     if  $bf(x) > 0$  then
4:       if  $x.left.left.h \geq x.left.right.h$  then
5:         RIGHT-ROTATE( $x$ )
6:       else
7:         LEFT-ROTATE( $x.left$ )
8:         RIGHT-ROTATE( $x$ )
9:     else
10:      if  $x.right.right.h \geq x.right.left.h$  then
11:        LEFT-ROTATE( $x$ )
12:      else
13:        RIGHT-ROTATE( $x.right$ )
14:        LEFT-ROTATE( $x$ )
```

TC Problem 13-3 (c) I

- (c) Using part (b), describe a recursive procedure $\text{AVL-INSERT}(x, z)$ that takes a node x within an AVL tree and a newly created node z (whose key has already been filled in), and adds z to the subtree rooted at x , maintaining the property that x is the root of an AVL tree.

Assume that $z.key$ has already been filled in and that $z.left = \text{NIL}$ and $z.right = \text{NIL}$; also assume that $z.h = 0$. Thus, to insert the node z into the AVL tree T , we call $\text{AVL-INSERT}(T.root, z)$.

TC Problem 13-3 (c) II

AVL-INSERT(x, z)

```
1: procedure AVL-INSERT( $x, z$ )
2:   if  $x.key > z.key$  then
3:     if  $x.left \neq \text{Nil}$  then
4:       AVL-INSERT( $x.left, z$ )
5:     else
6:        $x.left \leftarrow z$ 
7:   else if  $x.key > z.key$  then
8:     if  $x.right \neq \text{Nil}$  then
9:       AVL-INSERT( $x.right, z$ )
10:    else
11:       $x.right \leftarrow z$ 
12:  BALANCE( $x$ )
13:   $x.h \leftarrow \max(x.left.h, x.right.h) + 1$ 
```

TC Problem 13-3 (d) I

- (d) Show that AVL-INSERT, run on an n -node AVL tree, takes $O(\lg n)$ time and performs $O(1)$ rotations.

TC Problem 13-3 (d) II

```
1: procedure AVL-INSERT( $x,z$ )
2:   if  $x.key > z.key$  then
3:     if  $x.left \neq \text{Nil}$  then
4:       AVL-INSERT( $x.left,z$ )
5:     else
6:        $x.left \leftarrow z$ 
7:     else if  $x.key > z.key$  then
8:       if  $x.right \neq \text{Nil}$  then
9:         AVL-INSERT( $x.right,z$ )
10:      else
11:         $x.right \leftarrow z$ 
12:      BALANCE( $x$ )
13:       $x.h \leftarrow \max(x.left.h, x.right.h) + 1$ 
```

- ▶ AVL-INSERT is called recursively at most $h = \lg n$ times;
- ▶ Only one call to BALANCE actually involves rotation.

TC Problem 13-3 (?)

- (1) How to implement AVL-DELETE with BALANCE?
- (2) What is the time complexity of AVL-DELETE.

Thank
You!