

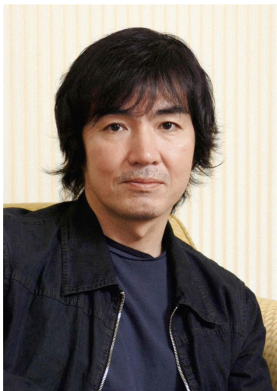
4-11 P and NP

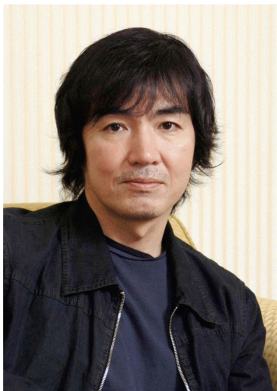
Hengfeng Wei

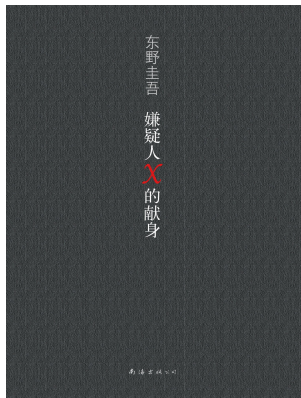
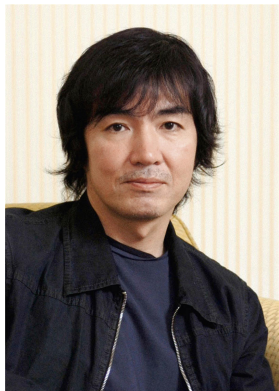
hfwei@nju.edu.cn

May 20, 2019









“对于数学问题，自己想出解答，
和判断别人说的解答是否正确，何者比较简单”

CONCEPT

decide.

ACCEPT



decide.

ACCEPT



decide.

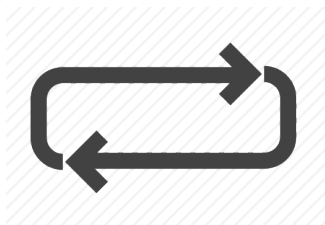
ACCEPT



Always terminate.

decide.

ACCEPT



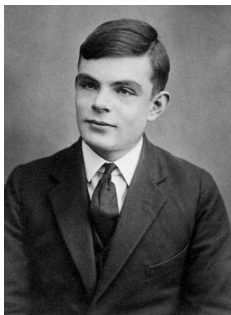
Always terminate.

May loop forever for “NO” instance.

Definition (Halting Problem)

Input: An arbitrary program and input

Output: Will the program eventually halt?

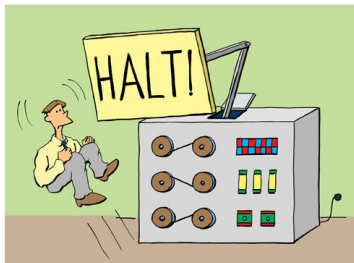
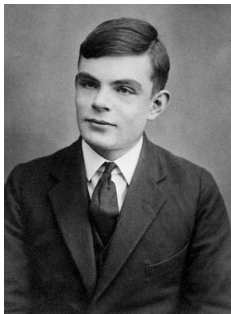


Alan designed the perfect computer

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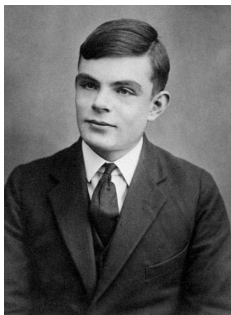
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Undecidable

Definition (Halting Problem)

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Undecidable

But Acceptable (Semi-decidable)

$$P = \left\{ L : L \text{ is } \text{decided} \text{ by a poly. time algorithm} \right\}$$

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Theorem (Theorem 34.2)

$$P = \{L : L \text{ is } \text{accepted} \text{ by a poly. time algorithm}\}$$

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Theorem (Theorem 34.2)

$$P = \{L : L \text{ is } \text{accepted} \text{ by a poly. time algorithm}\}$$

*You can safely forget “semi-decidable”
in computational complexity theory.*

Definition (NP)

$$L \in \text{NP}$$

$$\iff$$

\exists poly. time *verifier* $V(x, c)$ such that

$$\forall x \in \{0, 1\}^* : x \in L \iff \exists c \text{ with } |c| = O(|x|^k), V(x, c) = 1.$$

NP-problems has short **certificates** that are easy to verify.

$\exists L : L \notin \text{NP?}$

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Alan designed the perfect computer

$\exists L : L \notin \text{NP} \wedge L$ is decidable?

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Theorem (Deterministic Time Hierarchy Theorem (1965))

$$f(n) \log f(n) = o(g(n)) \implies \text{DTIME}(f(n)) \subsetneq \text{DTIME}(g(n))$$

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“Equivalence of Regular Expressions with Squaring” is
NEXP-complete:

$$e_1 \cup e_2, \quad e_1 \cdot e_2, \quad e^2$$

Closure of NP (CLRS 34.2-4)

NP is closed under \cup, \cap, \cdot, \star .

$$L_1 \in \text{NP}, L_2 \in \text{NP} \implies L = L_1 \circ L_2 \in \text{NP}$$

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```
1: procedure V(x, c)
2:   if c ≠ c₁#c₂ then
3:     return 0
4:   return V(x, c₁) ∨ V(x, c₂)
```

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$$x \in L_1 \cup L_2 \iff \exists c, V(x, c) = 1$$

$$L_1 \in \text{NP}, L_2 \in \text{NP} \implies L = L_1 \cap L_2 \in \text{NP}$$

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```

$$x \in L_1 \cap L_2 \iff \exists c, V(x, c) = 1$$

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```
1: procedure V(x, c)
2:   if c ≠ c1#c2&m then
3:     return 0

4:   return V(x1...m, c1) ∧ V(xm+1...|x|, c2)
```

$$L_1 \in \text{NP}, L_2 \in \text{NP} \implies L = L_1 \cdot L_2 \in \text{NP}$$

-
-
- 1: **procedure** $V(x, c)$
 - 2: **if** $c \neq c_1 \# c_2 \& m$ **then**
 - 3: **return** 0

 - 4: **return** $V(x_{1\dots m}, c_1) \wedge V(x_{m+1\dots|x|}, c_2)$
-

$$x \in L_1 \cdot L_2 \iff \exists c, V(x, c) = 1$$

$$L \in \text{NP} \implies L^* \in \text{NP}$$

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```
1: procedure V(x, c)
2:   for k ← 1 to |x| do
3:     m0 ← 0, mk ← |x|
4:     if c = c1#c2#⋯#ck&m1&m2&⋯&mk-1 then
5:       return  $\bigwedge_{i=1}^{i=k} V(x_{m_{i-1}+1\dots m_i}, c_i)$ 
```

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1: procedure  $V(x, c)$ 
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3:      $m_0 \leftarrow 0, m_k \leftarrow |x|$ 
4:     if  $c = c_1 \# c_2 \# \dots \# c_k \& m_1 \& m_2 \& \dots \& m_{k-1}$  then
5:       return  $\bigwedge_{i=1}^{i=k} V(x_{m_{i-1}+1 \dots m_i}, c_i)$ 
```

$$x \in L^* \iff \exists c, A(x, c) = 1$$

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$L_1 \leq_p L_2$ if \exists **poly.** time function f such that

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$\forall L \in \text{NP}, L \leq_p L' \implies L'$ is NP-hard

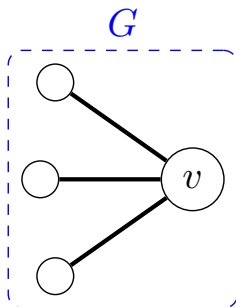
NP-complete = $\text{NP} \cap \text{NP-hard}$

HAM-PATH is NP-complete

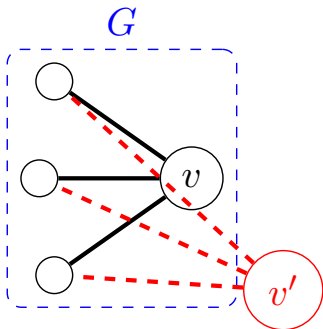
HAM-PATH is NP-complete

HAM-CYCLE \leq_p HAM-PATH

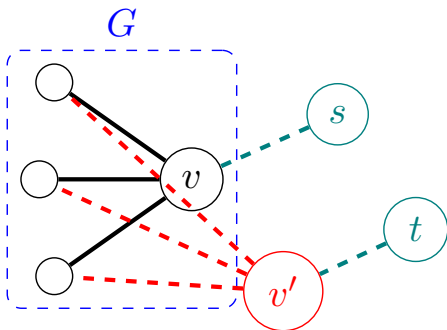
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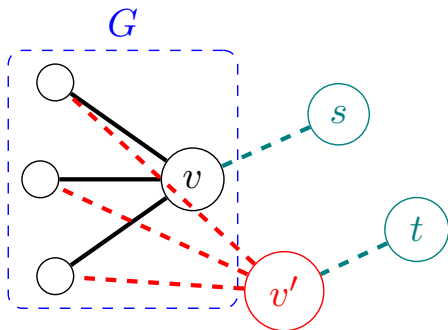
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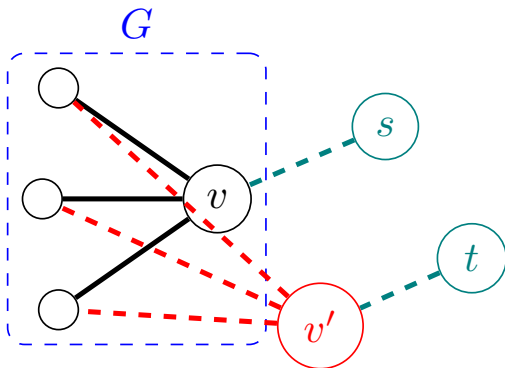
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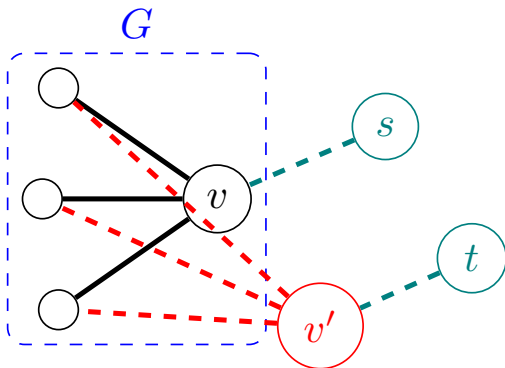
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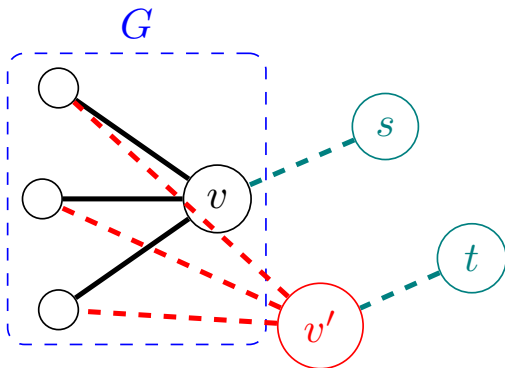
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$$G \in \text{HAM-CYCLE} \iff G' \in \text{HAM-PATH}$$





$$\text{deg}(v) \geq 2$$



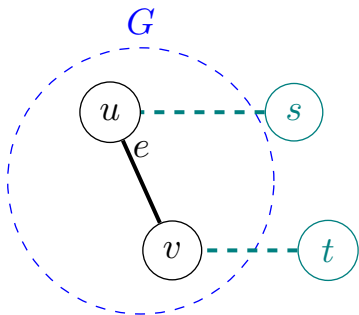
$$\deg(v) \geq 2$$

$$\forall u \in V(G) : \deg(u) \geq 2$$

HAM-CYCLE \leq_p HAM-PATH

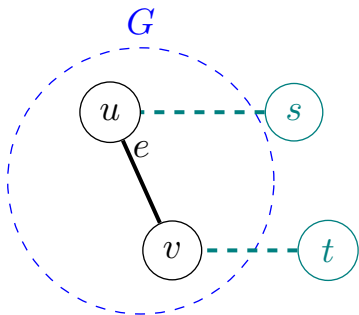
HAM-CYCLE \leq_p HAM-PATH

$\forall e \in G : \text{Construct } G_e$



HAM-CYCLE \leq_p HAM-PATH

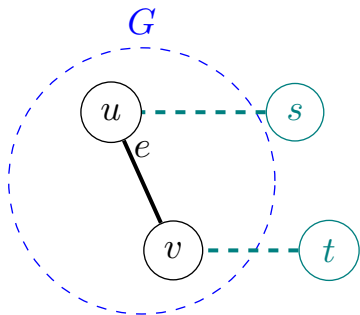
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G has a HC containing $e \iff G_e$ has a HP

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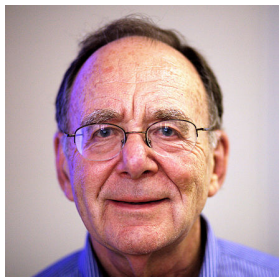
Karp Reduction

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Karp Reduction



REDUCIBILITY AMONG COMBINATORIAL PROBLEMS

Richard M. Karp

University of California at Berkeley

(1972)

Richard M. Karp (1935 ~)

Cook Reduction



The Complexity of Theorem-Proving Procedures

Stephen A. Cook

University of Toronto

(1971)

Stephen Cook (1939 ~)

$$\text{UNSAT} = \{ \varphi : \varphi \text{ is unsatisfiable.} \}$$

Q : Is UNSAT NP-hard?

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Q : Is UNSAT NP-hard?

Proof.

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Q : Is UNSAT NP-hard?

Proof.

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Proof.

$$\text{SAT} \leq_p \text{UNSAT}$$

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$$\text{SAT} \leq_p \text{UNSAT}$$

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$$\forall x : x \in L_1 \iff f(x) \in L_2$$

Theorem (CLRS 34.5-2)

0/1 ILP is NP-complete.

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3-CNF-SAT \leq_p 0/1 ILP

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$$x_1 \vee \overline{x_2} \vee \overline{x_3} \iff$$

Theorem (CLRS 34.5-2)

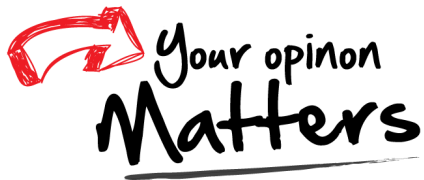
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3-CNF-SAT \leq_p 0/1 ILP

$$x_1 \vee \overline{x_2} \vee \overline{x_3} \iff x_1 + (1 - x_2) + (1 - x_3) \geq 1, \quad x_i \in \{0, 1\}$$





Office 302

Mailbox: H016

hfwei@nju.edu.cn