

# 3-6 Decompositions of Graphs

(DFS, DAG, Toposort, Cycle, SCC)

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Robert Tarjan



John Hopcroft

“For fundamental achievements  
in the design and analysis of algorithms and data structures.”

— Turing Award, 1986

## DEPTH-FIRST SEARCH AND LINEAR GRAPH ALGORITHMS\*

ROBERT TARJAN†

**Abstract.** The value of depth-first search or “backtracking” as a technique for solving problems is illustrated by two examples. An improved version of an algorithm for finding the strongly connected components of a directed graph and an algorithm for finding the biconnected components of an undirect graph are presented. The space and time requirements of both algorithms are bounded by  $k_1 V + k_2 E + k_3$  for some constants  $k_1, k_2$ , and  $k_3$ , where  $V$  is the number of vertices and  $E$  is the number of edges of the graph being examined.

**Key words.** Algorithm, backtracking, biconnectivity, connectivity, depth-first, graph, search, spanning tree, strong-connectivity.

“Depth-First Search And Linear Graph Algorithms”, Robert Tarjan

*“DFS is a powerful technique with many applications.”*

# The Hammer of DFS




Power of DFS:

Graph Traversal  $\implies$  Graph Decomposition

*Structure! Structure! Structure!*

Graph *structure* induced by DFS:

states of 

types of 

lifetime of :

$v : d[v], f[v]$

$f[v]$ : TOPOSORT, SCC

$d[v]$ : BICOMP (Problem 22-2)

## Definition (Classification of Edges)

We can **define** four edge types in terms of the depth-first forest  $G_\pi$  produced by a DFS on  $G$ :

Tree edge: edge in  $G_\pi$

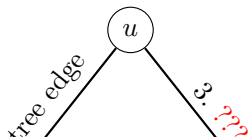
Back edge:  $\rightarrow$  ancestor

Forward edge:  $\rightarrow$  descendant (*nontree* edge)

Cross edge:  $\rightarrow (\neg \text{ancestor}) \wedge (\neg \text{descendant})$

## DFS on Undirected Graphs (Problem 22.3-6)

Classifying an edge  $(u, v)$  as a tree edge or a back edge according to whether  $(u, v)$  or  $(v, u)$  is encountered first during the depth-first search is equivalent to classifying it according to the ordering of the four types in the classification scheme.





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answered 16 hours ago





[Apass.Jack](#)

3,173 ⚡ 2 🍌 25



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Thanks. However, I am still confused. I have added an example to explain my confusion. Could you please have a look at it? – [hengxin](#) 3 hours ago



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 I am checking ... It looks like the answer is clear to me. – [Apass.Jack](#) 3 hours ago 


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 I will let you try following the procedure in the book step by step for the next few minutes. Or tell me if you have already tried. (Hopefully, I will visit your university...) (this comment will be removed later.) – [Apass.Jack](#) 3 hours ago 

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 I am going to update my answer now. It may take 5 minutes to half an hour. – [Apass.Jack](#) 2 hours ago 

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:) I am waiting (both on the Internet and in my university). – [hengxin](#) 2 hours ago 

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[add a comment](#)

## Theorem (Theorem 22.10)

*In a depth-first search of an undirected graph  $G$ , every edge of  $G$  is either a tree edge or a back edge.*

### Proof.

Let  $(u, v)$  be an arbitrary edge of  $G$ , and suppose without loss of generality that  $u.d < v.d$ . Then the search must discover and finish  $v$  before it finishes  $u$  (while  $u$  is gray), since  $v$  is on  $u$ 's adjacency list.

If **the first time** that the search explores edge  $(u, v)$ , it is in the direction from  $u$  to  $v$ , then  $v$  is undiscovered (white) until that time, for otherwise the search would have explored this edge already in the direction from  $v$  to  $u$ . Thus,  $(u, v)$  becomes a tree edge.

If the search explores  $(u, v)$  **first** in the direction from  $v$  to  $u$ , then  $(u, v)$  is a back edge, since  $u$  is still gray at the time the edge is first explored. □



## DFS on Undirected Graphs (Problem 22.3-6)

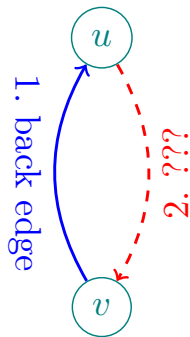
Classifying an edge  $(u, v)$  as a tree edge or a back edge according to whether  $(u, v)$  or  $(v, u)$  is encountered first during the depth-first search is equivalent to classifying it according to the ordering of the four types in the classification scheme.

“First Type”	<i>vs.</i>	“First Time”
tree edge	$\iff$	tree edge
back edge	$\iff$	back edge

“First Type”  $\Leftarrow$  “First Time”

tree edge  $\Leftarrow$  tree edge

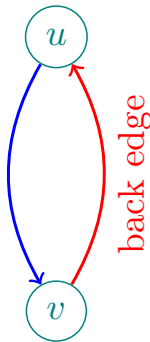
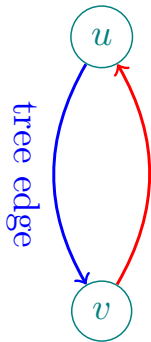
back edge  $\Leftarrow$  back edge

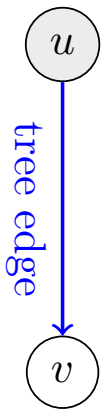


“First Type”  $\Rightarrow$  “First Time”

tree edge  $\Rightarrow$  tree edge

back edge  $\Rightarrow$  back edge





## Edge Types and Lifetime of Vertices in DFS

$$\forall u \rightarrow v :$$

- ▶ tree/forward edge:  $[u \text{ (red)} [v \text{ (blue)} ]_v ]_u$
- ▶ back edge:  $[v [u \text{ (red)} ]_u ]_v$
- ▶ cross edge:  $[v ]_v [u \text{ (red)} ]_u$

$$f[v] < d[u] \iff \text{cross edge}$$

$$f[u] < f[v] \iff \text{back edge}$$

$$\nexists \text{ cycle} \implies \boxed{u \rightarrow v \iff f[v] < f[u]}$$



On digraphs:

$\nexists$  back edge  $\iff$  DAG  $\iff \exists$  topo. ordering

TOPOSORT by Tarjan (probably), 1976

$\nexists$  cycle  $\implies \boxed{u \rightarrow v \iff f[v] < f[u]}$

Sort vertices in *decreasing* order of their *finish* times.

## Cycle Detection (Problem 22.4-3)

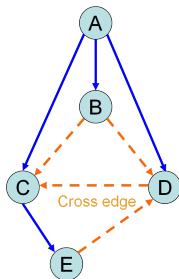
Whether an undirected graph  $G$  contains a cycle?

$$O(|V|)$$

tree:  $|E| = |V| - 1 \implies$  check  $|E| \geq |V|$

## Cycle Detection

	Digraph	Undirected graph
DFS	back edge $\iff$ cycle	back edge $\iff$ cycle
BFS	back edge $\implies$ cycle cycle $\not\Rightarrow$ back edge	cross edge $\iff$ cycle



## Theorem (Digraph as DAG)

*Every digraph is a dag of its SCCs.*

Two tiered structure of digraphs:

digraph  $\equiv$  a dag of SCCs

SCC: equivalence class over reachability

digraph  $\equiv$  a dag of SCCs

Kosaraju's SCC algorithm, 1978

*“SCCs can be topo-sorted  
in **decreasing** order of their highest **finish** time.”*

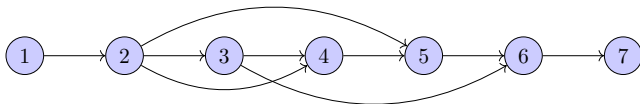
The vertice with the **highest** finish time is in a **source** SCC.

- (I) DFS on  $G$ ; DFS/**BFS** on  $G^T$
- (II) DFS on  $G^T$ ; DFS/**BFS** on  $G$

## Semiconnected Digraph (Problem 4.14)

$$\forall u, v \in V : u \rightsquigarrow v \vee v \rightsquigarrow u$$

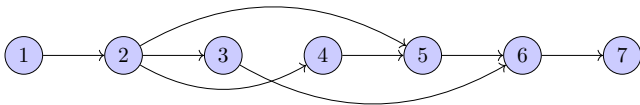
digraph  $\equiv$  a dag of SCCs



DAG: Semiconnected  $\iff \exists!$  topo. ordering

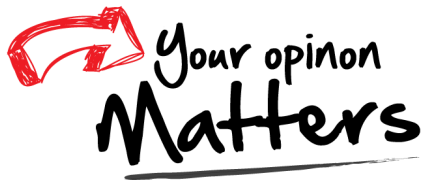
DAG: Semiconnected  $\iff \exists!$  topo. ordering

Tarjan's TOPOSORT + Check edges  $(v_i, v_{i+1})$









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