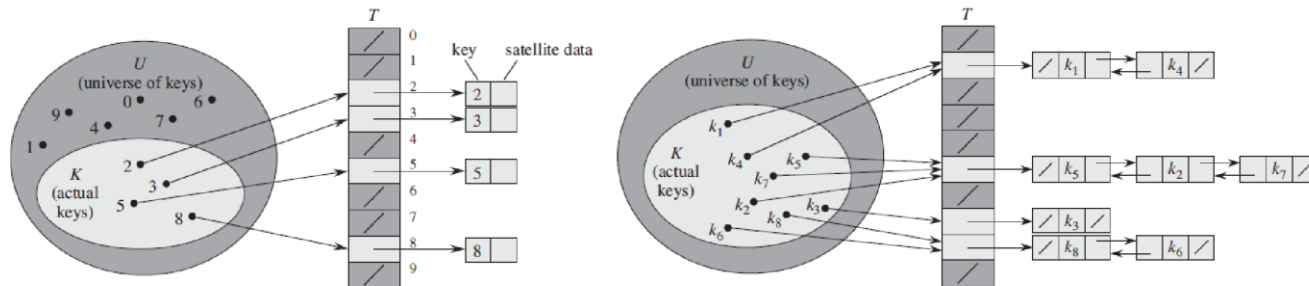


# Hashtable

# 问题1: dictionary

- 什么是dictionary?
- 你如何理解它的两种实现?
  - direct-address table
  - hash table
- 你能分析它们的存储空间和插入/删除/查找时间吗?
- 因此, 你能对比它们的优缺点吗?

many algorithms need only the ability to insert elements into, delete elements from, and test membership in a set. We call a dynamic set that supports these operations a **dictionary**. (p250, TC)



# 问题1: dictionary (续)

- 你理解这段话了吗？

## *Theorem 11.1*

In a hash table in which collisions are resolved by chaining, an unsuccessful search takes average-case time  $\Theta(1 + \alpha)$ , under the assumption of simple uniform hashing.

## *Theorem 11.2*

In a hash table in which collisions are resolved by chaining, a successful search takes average-case time  $\Theta(1 + \alpha)$ , under the assumption of simple uniform hashing.

What does this analysis mean? If the number of hash-table slots is at least proportional to the number of elements in the table, we have  $n = O(m)$  and, consequently,  $\alpha = n/m = O(m)/m = O(1)$ . Thus, searching takes constant time on average. Since insertion takes  $O(1)$  worst-case time and deletion takes  $O(1)$  worst-case time when the lists are doubly linked, we can support all dictionary operations in  $O(1)$  time on average.

如何做到那个“if”？

# 问题2: hash function

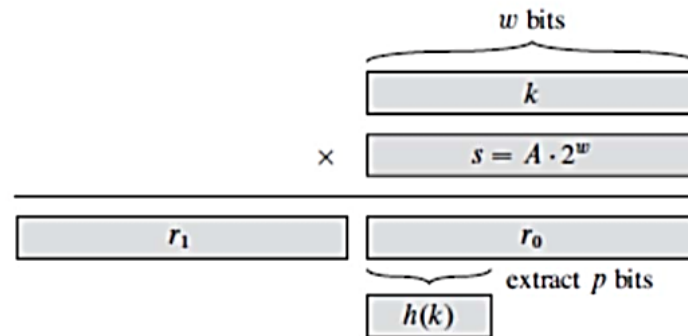
- 你如何理解一个好的hash function应有的这些要素?
  - Satisfies (approximately) the assumption of **simple uniform hashing**.
  - Derives the hash value in a way that we expect to be **independent of any patterns that might exist in the data**.
- 你如何理解simple uniform hashing? 它对hash table为什么至关重要?

## 问题2: hash function (续)

- 你理解这两种hash function了吗?

$$h(k) = k \bmod m$$

$$h(k) = \lfloor m (kA \bmod 1) \rfloor$$



- 这些hash function在实际中能确保是 simple uniform hashing吗? 如果不能, 怎么办?
  - universal hashing: to choose the hash function randomly in a way that is independent of the keys that are actually going to be

# 问题3:

## probability calculations in hashing

- 你会计算这些期望值吗?
  - expected number of items per location

- expected number of empty locations  $n/k$

- expected number of collisions  $k \left(1 - \frac{1}{k}\right)^n$

$$\begin{aligned} E(\text{collisions}) &= n - E(\text{occupied locations}) && n - k + k \left(1 - \frac{1}{k}\right)^n \\ &= n - k + E(\text{empty locations}), \end{aligned}$$

- expected time until all locations have at least one item

$$\begin{aligned} E(X) &= \sum_{j=1}^k E(X_j) \\ &= \sum_{j=1}^k \frac{k}{k-j+1} \end{aligned}$$

# 问题4: collision resolution

- 你理解open addressing了吗? 它与chaining的本质区别是什么? 因此, 它有哪些相对的优缺点?

**HASH-INSERT( $T, k$ )**

```
1  $i = 0$ 
2 repeat
3    $j = h(k, i)$ 
4   if  $T[j] == \text{NIL}$ 
5      $T[j] = k$ 
6     return  $j$ 
7   else  $i = i + 1$ 
8 until  $i == m$ 
9 error "hash table overflow"
```

**HASH-SEARCH( $T, k$ )**

```
1  $i = 0$ 
2 repeat
3    $j = h(k, i)$ 
4   if  $T[j] == k$ 
5     return  $j$ 
6    $i = i + 1$ 
7 until  $T[j] == \text{NIL}$  or  $i == m$ 
8 return  $\text{NIL}$ 
```

# 问题4: collision resolution (续)

- 一个好的h函数应该具有哪些特点?
  - The probe sequence is a permutation of  $\langle 0, 1, \dots, m-1 \rangle$ .
  - uniform hashing: The probe sequence of each key is equally likely to be any of the  $m!$  permutations of  $\langle 0, 1, \dots, m-1 \rangle$ .
- 你理解这些h函数了吗? 它们为什么不是最好的h函数?
  - linear probing  $h(k, i) = (h'(k) + i) \bmod m$
  - quadratic probing  $h(k, i) = (h'(k) + c_1 i + c_2 i^2) \bmod m$
  - double hashing  $h(k, i) = (h_1(k) + i h_2(k)) \bmod m$
- 你理解这些具体原因了吗?
  - linear probing: primary clustering
  - quadratic probing: secondary clustering



# 问题4: collision resolution (续)

- 你理解perfect hashing了吗？它与chaining的本质区别是什么？因此，它有哪些相对的优缺点？

